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Appl. No. 09/834,171
Amdt. dated November 24, 2003

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UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 09/834,171
Title : ELECTRONIC SECURITIES MARKETPLACE HAVING
INTEGRATION WITH ORDER MANAGEMENT
SYSTEMS
Applicant(s) : Seth I. Merrin et al.
Filed : April 12, 2001
TC/AU : 3624
Examiner : Kyle, Charles R.
Docket No. : 363779/0002

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

November 24, 2003

SUPPLEMENTAL AMENDMENT

Sir:

Subsequent to entry of the Amendment filed October 20, 2003 and prior to continued examination of the instant application, Applicants respectfully request entry of this Supplemental Amendment:

Amendments to the Claims are reflected in the listing of claims, which begins on page 2 of this paper.

Remarks/Arguments begin on page 13 of this paper.